

Form 1449 (Modified)

Information Disclosure Statement By Applicant

(Use Several Sheets if Necessary)

Atty Docket No.: 0037.00

Application No.: 09/414,384

Applicant: Clark et al.

Filing Date: 10-7-99

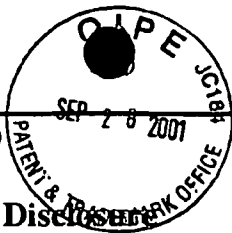
Group: 3761

Foreign Patent or Published Foreign Patent Application

| Examiner Initial | No. | Document No. | Publication Date | Country or Patent Office | Class | Sub-class | Translation | |
|------------------|-----|--------------|------------------|--------------------------|-------|-----------|-------------|----|
| | | | | | | | Yes | No |
| | A | WO99/27987 | 6-10-1999 | PCT | | | | |
| | B | WO95/34337 | 12-21-1995 | PCT | | | | |
| | C | WO99/47196 | 9-23-1999 | PCT | | | | |
| | D | WO95/24183 | 9-14-95 | PCT | | | | |

Examiner: _____ Date Considered: _____

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Form 1449 (Modified)

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Atty Docket No.:
0037.00

Application No.:
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Applicant:
Clark et al.

Filing Date:
10-7-99

Group:
3761

Other Documents

| Examiner Initial | No. | Author, Title, Date, Place (e.g. Journal) of Publication | | | | | | |
|---------------------|-----|--|-----------|---------------|--|--|--|--|
| | A | WO97/40819 | 11-6-1997 | PCT | | | | |
| | B | WO00/21594 | 4-20-2000 | PCT | | | | |
| | C | WO99/46055 | 6-16-1999 | PCT | | | | |
| | D | WO98/32479 | 7-30-1998 | PCT | | | | |
| | E | 1,598,053 | 9-16-1981 | Great Britain | | | | |

Examiner:

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|---|------------------------------------|---------------------------------------|
| Form 1449 (Modified) Information Disclosure Statement By Applicant <i>(Use Several Sheets if Necessary)</i> | Atty Docket No.: 0037.00 | Application No.: 09/414,384 |
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Other Documents

| Examiner Initial | No. | Author, Title, Date, Place (e.g. Journal) of Publication |
|---------------------|-----|--|
| | E | Clark et al. "The Relationship Between Powder Inhaler Resistance and Peak Inspiratory Conditions in Healthy Volunteers- Implications for In Vitro Testing" Journal of Aerosol Medicine, vol. 6, no. 2, pp. 99-110, 1993. |
| | F | Hill "Characteristics of an Active, Multiple Dose Dry Powder Inhaler" Respiratory Drug Delivery IV, pp 109-116 (1994). |
| | G | Dolovich "Physical Principles Underlying Aerosol Therapy" Journal of Aerosol Medicine, vol. 2, no. 2, pp. 171-186, 1989. |
| | H | Clark "Effect of Powder Inhaler Resistance Upon Inspiratory Profiles in Health and Disease" Respiratory Drug Delivery IV, pp. 117-123, (1994). |
| | I | Ross et al. "Effect of Inhalation Flow Rate on the Dosing Characteristics of Dry Powder Inhaler (DPI) and Metered Dose Inhaler (MDI) Products" Journal of Aerosol Medicine, vol. 9, no. 2, pp. 215-226 (1996). |
| | J | Lawford et al. "Pressurized Aerosol Inhaler Techniques: How Important are Inhalation from Residual Volume, Inspiratory Flow Rate and the Time Interval Between Puffs?" J. Dis. Chest, 77, pp. 276-281 (1983). |

| | |
|------------------|-------------------------|
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

| | | | |
|-------|---|----|---|
| Sheet | 1 | of | 1 |
|-------|---|----|---|

Complete if Known

| | |
|------------------------|--------------|
| Application Number | 09/414,384 |
| Filing Date | 10/07/1999 |
| First Named Inventor | Andrew Clark |
| Group Art Unit | 1615 |
| Examiner Name | Unassigned |
| Attorney Docket Number | 0037.00 |

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner
Signature**

Date Considered

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1448B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(See instructions on separate sheets as necessary)

Sheet

1 of 1

Complete if Known

| | |
|------------------------|--------------|
| Application Number | 09/414,384 |
| Filing Date | 10/07/1999 |
| First Named Inventor | Andrew Clark |
| Group Art Unit | 1615 |
| Examiner Name | Unassigned |
| Attorney Docket Number | 0037.00 |

SEP 28 2001

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner
Initials

No.

TRADEMARK

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

T²

1A ELLIOTT ET AL., "Aust. Paediatr. J., p. 293-297. (February 28, 1987).

Examiner
Signature

Date
Considered

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